## SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden hours per response 0.5

	ress of Reporting F	Person*	2. Issuer Name <b>and</b> Ticker or Trading Symbol NCR CORP [ NCR ]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
<u>Ciminera J. Robert</u>			[ ]		Director	10% Owner			
(Last) (First) (Middle)		(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	- x	Officer (give title below)	Other (specify below)			
864 SPRING STREET NW			02/23/2020		EVP Global Customer Services				
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group Filin	g (Check Applicable			
ATLANTA	GA	30308		X	Form filed by One Rep	porting Person			
(City)	(State)	(Zip)	—		Form filed by More that Person	an One Reporting			

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		Ansaction de (Instr. 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	02/23/2020		М		<b>9,381</b> <sup>(1)</sup>	Α	\$0.00	29,300	D	
Common Stock	02/23/2020		F		<b>4,6</b> 21 <sup>(2)</sup>	D	\$31.28	24,679	D	
Common Stock	02/24/2020		М		46,885 <sup>(3)</sup>	Α	\$0.00	71,564	D	
Common Stock	02/24/2020		F		23,091 <sup>(4)</sup>	D	\$29.64	48,473	D	

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative									8. Price of Derivative	9. Number of derivative	10. Ownership	11. Nature of Indirect			
Security (Instr. 3)	or Exercise Price of Derivative Security	(Month/Day/Year)	if any (Month/Day/Year)	Code (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		(Month/Day/Year)		Underlying Derivative Security (Instr. 3 and 4)		Security (Instr. 5)	Securities Beneficially Owned Following Reported Transaction(s)	Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Restricted Stock Units	<b>\$0.00</b> <sup>(1)</sup>	02/23/2020		М			9,381 <sup>(1)</sup>	(1)	(1)	Common Stock	9,381	\$0.00	9,382	D	
Restricted Stock Units	<b>\$0.00<sup>(3)</sup></b>	02/24/2020		М			46,885 <sup>(3)</sup>	(3)	(3)	Common Stock	46,885	\$0.00	46,885	D	

Explanation of Responses:

1. Represents the conversion of restricted stock units that vested on February 23, 2020. The performance related conditions of these restricted stock units were satisfied on February 7, 2019.

2. These shares were withheld to cover tax withholding obligations when 9.381 previously reported restricted stock units vested on February 23, 2020.

3. Represents the conversion of restricted stock units that vested on February 24, 2020. The performance related conditions of these restricted stock units were satisfied on December 9, 2016. 4. These shares were withheld to cover tax withholding obligations when 46,885 previously reported restricted stock units vested on February 24, 2020.

Remarks:

Laura J. Foltz, Attorney-in-Fact 02/25/2020 for J. Robert Ciminera

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.